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# Shared Challenges in Object Perception for Robots and Infants

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Robots and humans receive partial, fragmentary hints about the world's state through their respective sensors. These hints—tiny patches of light intensity, frequency components of sound, etc.—are far removed from the world of objects which we feel and perceive so effortlessly around us. The study of infant development and the construction of robots are both deeply concerned with how this apparent gap between the world and our experience of it is bridged. In this paper, we focus on some fundamental problems in perception which have attracted the attention of researchers in both robotics and infant development. Our goal was to identify points of contact already existing between the two fields, and also important questions identified in one field that could fruitfully be addressed in the other. We start with the problem of object segregation: how do infants and robots determine visually where one object ends and another begins? For object segregation, both the fields have examined the idea of using 'key events' where perception is in some way simplified and the infant or robot acquires knowledge that can be exploited at other times. We propose that the identification of the key events themselves constitutes a point of contact between the fields. Although the specific algorithms used in robots do not necessarily map directly to infant strategies, the overall 'algorithmic skeleton' formed by the set of algorithms needed to identify and exploit key events may in fact form the basis for mutual dialogue. We then look more broadly at the role of embodiment in humans and robots, and see the opportunities it affords for development. Copyright © 2007 John Wiley & Sons, Ltd.

*Key words:* infant development; robotics; object segregation; inter-modal integration; embodiment; active perception

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## 1 INTRODUCTION

3 Imagine if your body's sensory experience were presented to you as column after  
4 column of numbers. One number might represent the amount of light hitting a  
5 particular photoreceptor, another might be related to the pressure on a tiny patch  
6 of skin. Imagine further that you can only control your body by putting numbers  
7 in a spreadsheet, with different numbers controlling different muscles and organs  
8 in different ways.

9 This is how a robot experiences the world. It is also a (crude) *model* of how  
10 humans experience the world. Of course, our sensing and actuation are not  
11 encoded as numbers in the same sense, but aspects of the world and our  
12 bodies are transformed to and from internal signals that, in themselves, bear  
13 no trace of the signals' origin. For example, a neuron firing selectively to a  
14 red stimulus is not itself necessarily red. In telepresence applications (Steuer,  
15 1992), this model becomes literal, with an interface of numbers lying between  
16 the human operator and a remote environment. Understanding how to build  
17 robots requires understanding in detail how it is possible to sense and respond  
18 to the world, in terms of an interface of numbers representing sensor  
19 readings and actuator settings rather than symbolic descriptions of the  
20 world.

21 How closely does this match the concerns of psychology? In works concerned  
22 with modelling phenomena deeply rooted in culture, history, and biology,  
23 connections may exist at a rather high-level abstraction—for example, one can  
24 investigate theories of how language evolves in a group (Steels, 1997). In works  
25 concerned with immediate perception of the environment, we believe that there  
26 is a value in forging connections at a detailed level. We expect that there will be  
27 commonality between how infants and successful robots operate at the  
28 information-processing level, given the common constraints imposed and  
29 opportunities afforded by the physical world they share. For example, natural  
30 environments are of mixed, inconstant observability—there are properties of the  
31 environment that can be perceived easily under some circumstances and with  
32 great difficulty (or not at all) under others. This network of opportunities and  
33 frustrations should place limits on information processing that applies both to  
34 infants and robots with human-like sensors.

35 In this paper, we focus on early perceptual development in infants. The  
36 perceptual judgements infants make may change over time, showing an  
37 evolving sensitivity to various cues. This progression may be at least  
38 partially due to knowledge gained from experience. We identify opportunities  
39 that can be exploited by both infants and robots to perceive properties of their  
40 environment that cannot be directly perceived in other circumstances. We review  
41 some of what is known about how robots and infants can exploit such  
42 opportunities to learn how object properties not directly given in the display  
43 correlate with observable properties. The topics we focus on are object  
44 segregation and intermodal integration. In the last section, we discuss the role  
45 of the body for perception and how this contributes to creating points of contacts  
46 between the two fields.

## 49 OBJECT SEGREGATION

51 The world around us has a structure, and to an adult it appears to be made up of  
more-or-less well-defined objects. Perceiving the world this way sounds trivial,

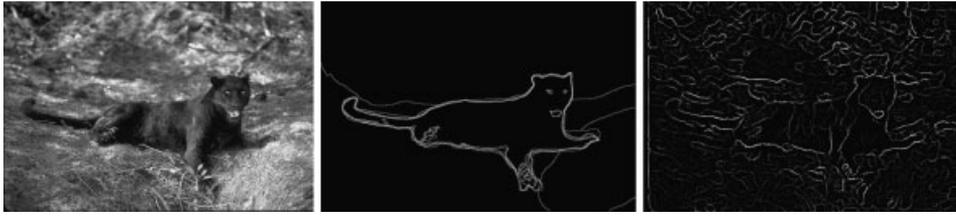


Figure 1. An example from Martin, Fowlkes, and Malik (2004) to highlight the difficulties of bottom-up segmentation. For the image shown on the left, humans see the definite boundaries shown in white in the middle image. The best machine segmentation of a set of algorithms gives the result shown on the right—a mess. This seems a very difficult scene to segment without having some training at least for the specific kinds of materials in the scene.

but from an engineering perspective, it is heart-breakingly complex. As Spelke wrote in 1990:

... the ability to organize unexpected, cluttered, and changing arrays into objects is mysterious: so mysterious that no existing mechanical vision system can accomplish this task in any general manner. (Spelke, 1990)

This is still true today. This ability to assign boundaries to objects in visually presented scenes (called 'object segregation' in psychology or 'object segmentation' in engineering) cannot yet be successfully automated for arbitrary object sets in unconstrained environments (see Figure 1). On the engineering part, there has been some *algorithmic* progress; for example, given local measures of similarity between each neighbouring element of a visual scene, a globally appropriate set of boundaries can be inferred in efficient and well-founded ways (see, for example, Felzenszwalb & Huttenlocher, 2004; Shi & Malik, 2000). There is also a growing awareness of the importance of collecting and exploiting empirical *knowledge* about the statistical combinations of materials, shapes, lighting, and viewpoints that actually occur in our world (see, for example, Martin *et al.*, 2004). Of course, such knowledge can only be captured and used effectively because of algorithmic advances in machine learning, but the knowledge itself is not specified by an algorithm. Empirical, non-algorithmic knowledge of this kind now plays a key role in machine perception tasks of all sorts. For example, face detection took a step forward with Viola and Jones (2004); the success of this work was due to both algorithmic innovation and better exploitation of knowledge (features learned from 5000 hand-labelled face examples). Automatic speech recognition is successful largely because of the collection and exploitation of extensive corpuses of clearly labelled phoneme or phoneme-pair examples that cover well the domain of utterances to be recognized. These two examples clarify the ways the 'knowledge' can play a role in machine perception. The bulk of the 'knowledge' used in such systems takes the form of *labelled examples*—examples of input from the sensors (a vector of numbers) and the corresponding desired output interpretation (another vector of numbers). More-or-less general purpose machine-learning algorithms can then approximate the mapping from sensor input to desired output interpretation based on the examples (called the *training set*), and apply that approximation to novel situations (called the *test set*). Generally, this approximation will be very

1 poor unless we transform the sensory input in a manner that highlights  
2 properties that the programmer believes may be relevant. This transformation is  
3 called *preprocessing and feature selection*. This transformation and a corresponding  
4 transformation that applies the results of the learning system back to the original  
5 problem together make up a very important part of the full system. This  
6 'infrastructure' is often downplayed or not reported. For this paper, we will  
7 group all this infrastructure and call it the *algorithmic skeleton*. This set of carefully  
8 interlocking algorithms is designed so that, when fed with appropriate training  
9 data, it produces a functional system. Without the algorithmic skeleton, there  
10 would be no way to make sense of the training data, and without the data,  
11 perception would be crude and uninformed.

12 The algorithmic skeleton, seen as a set of choices about preprocessing and  
13 feature selection, gives a specific bias to the final performance of the interlocking  
14 algorithms. With it, the designer guides the learning system towards an  
15 interpretation of data likely to be appropriate for the domain in which the  
16 system will find itself. Clearly, this is also a crucial point where informed choices  
17 can be made starting from infant studies or from neuroscience evidence. These  
18 biases and choices are 'knowledge' that is just as important as the data that come  
19 from the specific interaction of the learning machine with the environment. An  
20 ongoing research goal is to maximize the amount that a system can learn with the  
21 minimum of hand-designed bias (Bell & Sejnowski, 1997; Simoncelli &  
22 Olshausen, 2001). This generally means adding algorithms to infer extra  
23 parameters from data rather than setting them from human judgement. This  
24 can seem a little confusing, since in the quest to reduce the need for designer bias,  
25 we actually increase designer effort—the designer is now adding complex  
26 algorithms rather than picking a few numbers. What is really happening is that  
27 bias is not being removed, but rather moved to a higher level of abstraction. This  
28 is very valuable because it can greatly increase the number of situations in which  
29 a fixed algorithmic skeleton can be successfully applied.

30 What, then, is a good algorithmic skeleton for object segregation? What set of  
31 algorithms, coupled with what kind of training data, would lead to best  
32 performance? We review suggestive results in both infant development research  
33 and robotics.

### 34 *Segregation Skills in Infants*

35  
36  
37 By 4–5 months of age, infants can visually parse simple displays like the one in  
38 Figure 2 into units, based on something like a subset of static Gestalt  
39 principles—see, for example, Needham (1998, 2000). Initial studies indicated  
40 that infants use a collection of features to parse the displays (Needham &  
41 Baillargeon, 1997, 1998; Needham, 1998); subsequent studies suggested that  
42 object shape is the key feature that young infants use to identify boundaries  
43 between adjacent objects (Needham, 1999). Compared with adult judgements, we  
44 would expect such strategies to lead to many incorrect parsings, but they will  
45 also provide reasonable best guess interpretations of uniform objects in complex  
46 displays.

47  
48 Infants do not come prepared from birth to segregate objects into units that  
49 match adult judgement. It appears that infants learn over time how object  
50 features can be used to predict object boundaries. More than 20 years ago,  
51 Kellman and Spelke (1983) suggested that infants may be born with knowledge  
about solid, three-dimensional objects and that this knowledge could help them

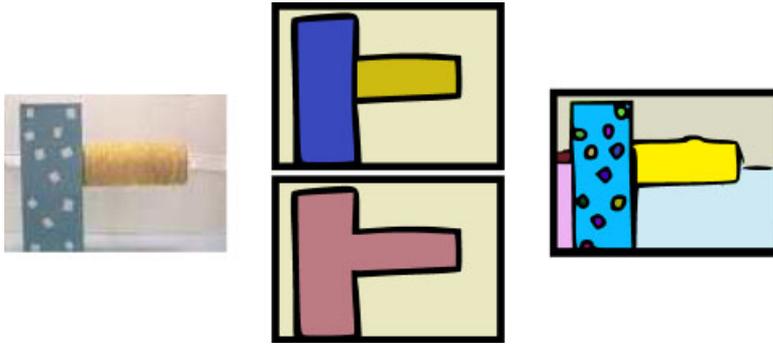


Figure 2. Object segregation is not necessarily well defined. On the left, there is a simple scenario, taken from Needham (2001), showing a rectangle attached to a yellow tube. Two plausible ways to segregate this scene are shown in the middle, depending on whether the tube and rectangle make up a single object. For comparison, automatically acquired boundaries are shown on the right, produced using the algorithm in Felzenszwalb and Huttenlocher (2004). This algorithm does *image segmentation*, seeking to produce regions that correspond to whole objects (such as the yellow tube) or at least to object parts (such as all the blue rectangle and all the small white patches on its surface, and various parts of the background). Ideally, regions that extend across object boundaries are avoided. Image segmentation is less ambitious than object segregation, and allows context information to be factored in as a higher level process operating on a region level rather than pixel level.

interpret portions of a moving object as connected to other portions that were moving in unison. This assertion was put to the test by Slater and his colleagues (Slater *et al.*, 1990), a test that resulted in a new conception of the neonate's visual world. Rather than interpreting common motion as a cue to object unity, neonates appeared to interpret the visible portions of a partly occluded object as clearly separate from each other, even when undergoing common motion. This finding was important because it revealed one way in which learning likely changes how infants interpret their visual world.

Although segregating adjacent objects present a very similar kind of perceptual problem ('are these surfaces connected or not'), the critical components of success might be quite different. Early work with adjacent objects indicated that at 3 months of age, infants tend to group all touching surfaces into a single unit (Kestenbaum, Termine, & Spelke, 1987). Subsequent experiments have revealed that soon after this point in development, infants begin to analyse the perceptual differences between adjacent surfaces and segregate surfaces with different features (but not those with similar features) into separate units (Needham, 2000). Although infants can use the boundary seam between two objects as a source of information about the likely separation between them (Kaufman & Needham, 1999), other work comparing boundary-occluded and fully visible versions of the same displays suggests that boundary information is not the only information infants use to parse the objects in a display (Needham, 1998). Still later, 8.5-month-old infants have shown to also use information about specific objects or classes of objects to guide their judgement (Needham, Cantlon, & Holley, 2006).

It might be that extensive amounts of experience are required to 'train up' this system. However, it might also be that infants learn on the basis of relatively few exposures to key events (Baillargeon, 1999). This possibility was investigated

1 within the context of object segregation by asking how infants' parsing of a  
3 display would be altered by a brief prior exposure to one of the objects in the test  
display.

5 In this paradigm, a test display was used that was known to be ambiguous to  
4.5-month-old infants. Infants were given a prior experience that could help  
7 disambiguate the test display. This prior experience consisted of a brief exposure  
(visual only) to a portion of the test display. If infants used this prior experience  
9 to help them interpret the test display, they should see the display as two  
separate objects rather than a single aggregate. In that case, they should look  
11 reliably longer when the objects moved as a single unit (unexpected) than when  
they move separately (expected). If, however, the prior experience was ineffective  
13 in altering infants' interpretation of the display, their behaviour should be similar  
to the infants in the initial study with no particular prior experience (Needham &  
15 Baillargeon, 1998). In fact, prior experiences with either portion of the test display  
turned out to be effective in facilitating infants' parsing of the test display.

### 17 19 *Segregation Skills in Robots*

21 This idea that *exposure to key events* could influence segregation is intuitive, and  
evidently operative in infants. Yet, it is not generally studied or used in  
23 mechanical systems for object segregation. In this section, we attempt to  
reformulate robotics work by the authors in these terms. For object segregation  
25 in robotics, we will interpret 'key events' as moments in the robot's experience  
where the true boundary of an object can be reliably inferred. They offer an  
27 opportunity to determine *correlates* of the boundary that can be detected outside  
of the limited context of the key events themselves. Thus, with an appropriate  
algorithmic skeleton, information learned during key events can be applied more  
29 broadly. Key events used by infants include seeing an object in isolation or seeing  
objects in relative motion, as discussed in segregation skills in infants section. In  
31 the authors' work, algorithmic skeletons have been developed for exploiting  
constrained analogues of these situations.

33 Natale, Orabona, Metta, and Sandini (2005) used a very simple key event to  
learn about objects—*holding an object up to the face*. The robot can be handed an  
35 object or happen to grasp it, and will then hold it up close to its cameras. This  
gives a good view of its surface features, allowing the robot to do some learning  
37 and later correctly segregate the object out from the background visually even  
when out of its grasp (see Figure 3). This is similar to an isolated presentation of  
39 an object, as in Needham's experiments. In real environments, true isolation is  
very unlikely, and actively moving an object so that it dominates the scene can be  
41 beneficial. Fitzpatrick and Metta (2003) used the 'key event' *hitting an object with  
the hand/arm*. This is a constrained form of relative object motion. In the real  
43 world, all sorts of strange motions happen which can be hard to parse, so it is  
simpler at least to begin with to focus on situations the robot can initiate and at  
45 least partially control. Motion caused by body impact has some technical  
advantages; the impactor (the arm) is modelled and can be tracked, and since the  
47 moment and place of impact can be detected quite precisely, unrelated motion in  
the scene can be largely filtered out.

49 The *algorithmic skeleton* by Fitzpatrick and Metta (2003) processes views of the  
arm moving, detects collisions of objects with the arm, and outputs boundary  
51 estimates of whatever the arm collides with based on a motion cue. These  
boundaries, and what they contain, are used as training data for another

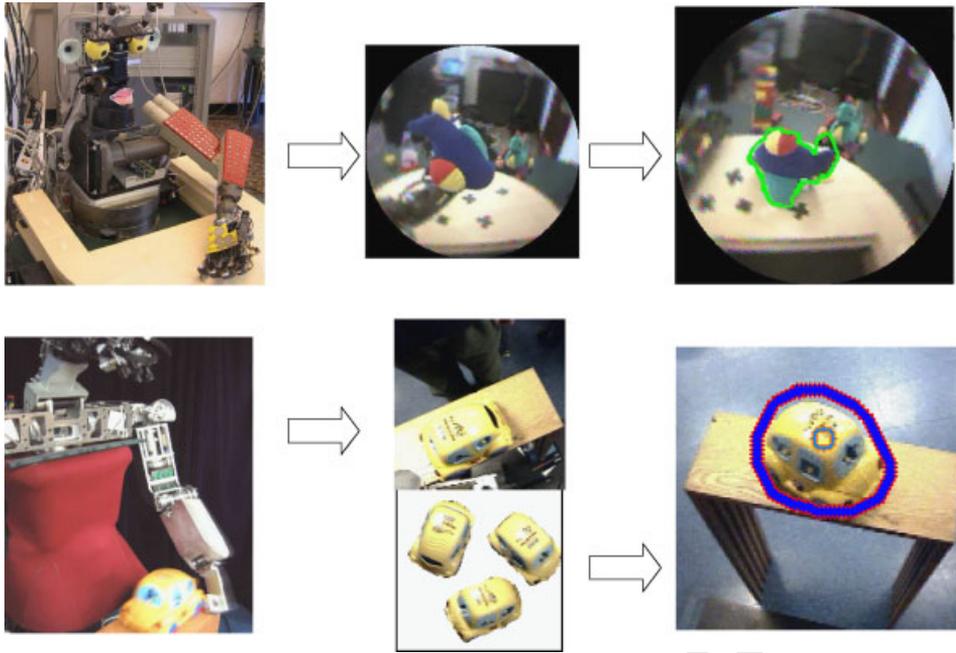


Figure 3. The upper row shows object segregation by the robot 'Babybot' based on prior experience. The robot explores the visual appearances of an object that it has grasped; the information collected in this way is used later on to segment the object (Natale *et al.*, 2005). Left: the robot. Middle: the robot's view when holding up an object. Right: later segmentation of the object. The lower row shows the robot 'Cog' detecting object boundaries experimentally by poking (Fitzpatrick, 2003). During object motion, it finds features of the object that contrast with other objects, and that are stable with respect to certain geometric transformations. These features are then used to jointly detect and segment the object in future views. Left: the robot. Middle: segmentations of a poked object. Right: later segmentation of the object on a similarly coloured table.

algorithm, whose purpose is to estimate boundaries from visual appearance when motion information is not available. See Fitzpatrick (2003) for technical details. As a basic overview, the classes of algorithms involved are as follows:

1. *Behaviour system*: An algorithm that drives the robot's behaviour, so that it is likely to hit things. This specific, rather idiosyncratic goal is chosen in order to enable a broader set of outcomes.
2. *Key event detection*: An algorithm that detects the event of interest, in this case when the arm/hand hits an object.
3. *Training data extraction*: An algorithm that can, within the specific context of the key event, extract boundary information—in this case using object motion caused by hitting.
4. *Machine learning*: An algorithm that uses the training data to identify features that are predictive of boundaries and which can be extracted in other situations outside the key event (for example, edge and color combinations).
5. *Application of learning*: An algorithm that actually uses those features to predict boundaries. This must be integrated with the very first algorithm, to influence

1 the robot's behaviour in useful ways. In terms of observable behaviour, the  
3 robot's ability to attend and fixate specific objects increases, since they become  
segregated from the background.

5 This skeleton gives the robot an initial behaviour that changes during learning,  
6 once the robot actually starts hitting objects and extracting specific features  
7 predictive of the boundaries of specific objects. A set of different algorithms  
8 performing analogous roles are given by Natale *et al.* (2005). Fitzpatrick and  
9 Metta (2003) used a very specific condition (objects being hit by people or the  
10 robot itself) to extract good motion-based object boundaries; surface features of  
11 the object could then be used to segregate that object out in static presentations  
12 (Fitzpatrick, 2003). Arsenio and Fitzpatrick (2005) used rhythmic motion of  
13 objects to segment their boundaries both visually and acoustically. Arsenio and  
14 Fitzpatrick (2005) developed a set of techniques for acquiring all sorts of  
15 segmentations. Some methods work for small, grasp-size objects, others work  
16 for large background objects like walls or tables. At the algorithmic level,  
17 the technical concerns are quite diverse, but for a complete system all five  
18 points listed above must be addressed. At the skeletal level, the concerns  
19 seem quite close in spirit to those of infant perceptual development, apart  
20 from differences of terminology caused by the synthetic rather than analytic  
21 nature of robotics.

### 23 *Specificity of Knowledge Gained from Experience*

25 In the robotic-learning examples in the previous section (Fitzpatrick, 2003;  
26 Natale *et al.*, 2005), information learned by the robot is intended to be specific  
27 to one particular object. The specificity could be varied algorithmically, by  
28 adding or removing parts of a feature's 'identity'. Too much specificity, and the  
29 feature will not be recognized in another context. Too little, and it will be  
30 'hallucinated' everywhere. We return now to Needham's experiments, which  
31 probed the question of generalization in the same experimental scenario  
32 described in segregation skills in infants section. When changes were introduced  
33 between the objects seen during familiarization and that seen as part of the  
34 test display, an unexpected pattern emerged. Nearly, any change in the  
35 object's features introduced between familiarization and test prevented infants  
36 from benefiting from this prior experience. So, even when infants saw a blue  
37 box with yellow squares prior to testing, and the box used in testing had  
38 white squares but was otherwise identical, they did not apply this prior  
39 experience to the parsing of the test display. However, infants did benefit  
40 from the prior exposure when the change was not in the features of the object  
41 but rather in its orientation (Needham, 2001). A change in the orientation of  
42 the box from horizontally to vertically oriented led to the facilitation in parsing  
43 seen in some prior experiments. Thus, infants even as young as 4.5–5 months  
44 of age know that to probe whether they have seen an object before, they  
45 must attend to the object's features rather than its spatial orientation (Needham,  
46 2001).

47 These results also support two additional conclusions. First, infants' object  
48 representations include detailed information about the object's features. Because  
49 infants' application of their prior experience to the parsing of the test display was  
50 so dependent on something close to an exact match between the features, one  
51 must conclude that a highly detailed representation is formed on the initial

1 exposure and maintained during the inter-trial-interval. Because these features  
3 are remembered and used in the absence of the initial item and in the presence of  
5 a different item, this is strong evidence for infants' representational abilities.  
7 Secondly, 4.5-month-old infants are conservative generalizers—they do not  
9 extend information from one object to another very readily. But would they  
11 extend information from a *group* of objects to a new object that is a member of  
13 that group?

### 11 *Generalization of Knowledge Gained from Experience*

13 This question was investigated by Needham, Dueker, and Lockhead (2005) in a  
15 study using the same test display and a similar procedure as by Needham (2001).  
17 Infants were given prior experiences with collections of objects, no one of which  
19 was an effective cue to the composition of the test display when seen prior to  
21 testing. A set of three similar objects seen simultaneously prior to test did  
23 facilitate 4.5-month-old infants segregation of the test display. But no subset of  
25 these three objects seen prior to testing facilitated infants' segregation of the test  
27 display. Also, not just any three objects functioned in this way—sets that had no  
29 variation within them or that were too different from the relevant test item  
31 provided no facilitation. Thus, experience with multiple objects that are varied  
33 but that are similar to the target item is important to infants' transfer of their  
35 experience to the target display. This finding with artificial objects was tested in a  
37 more natural setting by investigating infants' parsing of a test display consisting  
39 of a novel key ring (Needham *et al.*, 2006). According to a strict application of  
41 organizational principles using object features, the display should be seen as  
43 composed of (at least) two separate objects—the keys on one side of the screen  
45 and the separate ring on the other side. However, to the extent that infants  
47 recognize the display as a member of a familiar category—key rings—they  
should group the keys and ring into a single unit that should move as a whole.  
The findings indicate that by 8.5 months of age, infants parse the display into a  
single unit, expecting the keys and ring to move together. Younger infants do not  
see the display as a single unit, and instead parse the keys and ring into separate  
units. Infants of both ages interpreted an altered display, in which the identifiable  
portions of the key ring were hidden by patterned covers, as composed of two  
separate units. Together, these findings provide evidence that the studies of  
controlled prior exposure described in the previous section are consistent with  
the process as it occurs under natural circumstances. Infants' ordinary  
experiences present them with multiple similar exemplars of key rings, and  
these exposures build a representation that can then be applied to novel (and yet  
similar) instances of the key ring category, altering the interpretation that would  
come from feature-based principles alone. Supporting a differentiation view of  
the development of generalization, Bahrick's findings suggest that young (i.e. 2-  
month-old) infants are more likely to generalize farther from the specific  
experiences they received than infants just a few months older (Bahrick, 2002).  
This finding suggests that experience might serve to initially narrow and then  
extend the range of stimuli over which young children will generalize.

These results from infant development suggest a path for robotics to follow.  
There is currently no developmental robotics work to point to on generalization  
of object categories, despite its importance. Robotics work in this area could  
potentially aid infant psychologists since there is a strong theoretical framework  
in machine learning for issues of generalization.

## 1 *Intermodal Integration*

3 We have talked about 'key events' in which object boundaries are easier to  
5 perceive. In general, the ease with which any particular object property can be  
7 estimated varies from situation to situation. Robots and infants can exploit the  
9 easy times to learn statistical correlates that are available in less clear-cut  
11 situations. For example, *cross-modal* signals are a particularly rich source of  
13 correlates and have been investigated in robotics and machine perception. Most  
15 events have components that are accessible through different senses: a bouncing  
17 ball can be seen as well as heard; the position of the observer's own hand can  
19 be seen and felt as it moves through the visual field. Although these perceptual  
21 experiences are clearly separate from each other, composing separate 'channels',  
23 we also recognize meaningful correspondences between the input from these  
25 channels. How these channels are related in humans is not entirely clear. Different  
27 approaches to the development of intermodal perception posit that  
29 infants' sensory experiences are (a) unified at birth and must be differentiated  
31 from each other over development, or (b) separated at birth and must be linked  
33 through repeated pairings. Although the time frame over which either of these  
35 processes would occur has not been well defined, research findings do suggest  
37 that intermodal correspondences are detected early in development.

On what basis do infants detect these correspondences? Some of the earliest  
work on this topic revealed that even newborn infants look for the source of a  
sound (Butterworth & Castillo, 1976) and by 4 months of age have specific  
expectations about what they should see when they find the source of the sound  
(Spelke, 1976). More recent investigations of infants' auditory-visual correspon-  
dences have identified important roles for synchrony and other amodal  
properties of objects—properties that can be detected across multiple perceptual  
modalities. An impact (e.g. a ball bouncing) provides amodal information  
because the sound of the ball hitting the surface is coincident with a sudden  
change in the direction of the ball's path of motion. Some researchers have  
argued that detection and use of amodal object properties serve to bootstrap the  
use of more idiosyncratic properties (e.g. the kind of sound made by an object  
when it hits a surface). Bahrick and Lickliter have shown that babies (and  
bobwhite quail) learn better and faster from multimodal stimulation (see their  
Intermodal Redundancy Hypothesis, Bahrick & Lickliter, 2000).

In robotics, amodal properties such as *location* have been used—for example,  
sound localization can aid visual detection of a talking person. *Timing* has also  
been used. Prince and Hollich (2005) developed specific models of audio-visual  
synchrony detection and evaluated compatibility with infant performance. Arsenio  
and Fitzpatrick (2005) exploited the specific timing cue of *regular repetition* to form  
correspondences across sensor modalities. From an engineering perspective, the  
redundant information supplied by repetition makes this form of timing  
information easier to detect reliably than synchrony of a single event in the  
presence of background activity. However, in the model of Lewkowicz (2000), the  
ordering during infant development is opposite. A more complete understanding  
of the practical benefits of different types of intermodal regularity for robots and  
infants is a clear and important point of contact between the respective fields.

## 49 THE ROLE OF EMBODIMENT

51 The study of perception in biological systems cannot neglect the role of the body  
and its morphology in the generation of the sensory information reaching the

1 brain. One of the big steps forward in neurophysiology during the last 20 years in  
2 understanding brain function is the realization that the brain controls actions  
3 rather than movements. That is, the most basic unit of control is not the activation  
4 of a specific muscle but rather an action unit that includes a goal, a motive for  
5 acting, specific modes of perception tailored to this goal, and the recombination  
6 of functional modules and synergies of muscles to attain the goal (Hoftsen, 2004).  
7 This shift in perspective is supported by evidence accumulated through the  
8 study of the motor system in animals and humans: for a comprehensive  
9 treatment, see, for example, (Rizzolatti & Craighero, 2004; Rizzolatti & Gentilucci,  
10 1988).

11 A modern view of biological motor control considers multiple controllers that  
12 are *goal* specific (rather than effector specific) and multiple homunculi and  
13 somatotopies that expand into multiple controllers for these goals. This particular  
14 type of generalization is, for example, crystal clear in one of the premotor areas  
15 that is correlated to the act of grasping. This area, called F5 (frontal area 5),  
16 contains neurons that are used for grasping with the left hand, the right hand or  
17 even with the mouth (Gallese, Fadiga, Fogassi, & Rizzolatti, 1996).

18 The next conceptual step in changing our view of the control of movement was  
19 made by the discovery of sensory neurons (e.g. visual) in this same premotor  
20 cortex, area F5. As far as objects are concerned, it is now well established that the  
21 premotor cortex responds both to the sight of objects (visual response), and to a  
22 grasping action directed at the same object (motoric response) (Gallese *et al.*,  
23 1996). The two representations—motoric and visual—not only coexist in the same  
24 brain areas but also they coexist in the same population of neurons.

25 Similar responses have been found in the parietal cortex. This forms such a  
26 conspicuously bi-directional connection with the premotor cortex that is useful to  
27 speak of the fronto-parietal system. Parietal neurons have been found to respond  
28 to geometric global object features (e.g. their orientation in 3D) which seem in fact  
29 well tuned to the control of action. But the fronto-parietal circuitry is also active  
30 when an intended movement does not become an actual one. The natural  
31 question to be posed is that what is the purpose of this activation: potential motor  
32 action or true object recognition? Multisensory neurons are testimonies of how  
33 much action and perception, body and brain are deeply intertwined in shaping  
34 each other during development and throughout adulthood.

### 37 *Active Perception and the Body in Infants*

38 Through the body, the brain performs actions to explore the environment and  
39 collect information about its properties and rules. Early in development,  
40 exploration of the world occurs through the eyes, hands, and mouth. Infants'  
41 earliest competence for exploration is with the eyes—they engage in active visual  
42 exploration of the world around them from the first moments following birth  
43 (Haith, 1980; Salapatek, 1968). With age and experience, their scanning of visual  
44 displays becomes more comprehensive and focused on meaningful features.  
45 More recent work has shown that infants' scanning patterns constrain their  
46 learning (Johnson & Johnson, 2000; Johnson, Slemmer, & Amso, 2004).

47 Over the first few months of life, infants gain more control over their limbs and  
48 develop a sense of themselves as agents in the world as they make the transition  
49 into reaching (Rochat & Striano, 2000; Thelen *et al.*, 1993; White, Castle, & Held,  
50 1964). They often engage in prolonged periods of visual attention to their own  
51 hands (White *et al.*, 1964). Interestingly, monkeys deprived of early visual access

1 to one of their arms engaged in intense scrutiny of the arm once they were  
2 allowed an unobstructed view of it (Held & Bauer, 1967; see also White, 1971, for  
3 related findings with human infants). These results suggest that infants' learning  
4 about objects and their own action skills may benefit in very specific ways from  
5 their own actions on the world. They exploit the capabilities of their bodies early  
6 on to scan objects visually and to explore them with their eyes, mouth, and hands  
7 (Rochat, 1983, 1989; Ruff, 1984).

8 The use of hands for object exploration has received additional attention. In  
9 their experiments with human adults, Lederman and Klatzky (1987) have  
10 identified a set of stereotyped hand movements (*exploratory procedures*) used  
11 when haptically exploring objects to determine properties like weight, shape,  
12 texture, and temperature. Lederman and Klatzky showed that to each property  
13 can be associated a preferential exploratory procedure which is, if not required, at  
14 least best suited for its identification.

15 These observations support the theory that motor development and the body  
16 play an important role in perceptual development in infancy (Bushnell &  
17 Boudreau, 1993). Proper control of at least the head, the arm, and the hand is  
18 required before infants can reliably and repetitively engage in interaction with  
19 objects. During the first months of life, the inability of infants to perform skilful  
20 movements with the hand would prevent them from haptically exploring the  
21 environment and perceive properties of objects like weight, volume, hardness,  
22 and shape. But, even more surprisingly, motor development could affect the  
23 developmental course of object visual perception (like three-dimensional shape).  
24 Further support to this theory comes from the recent experiment by Needham  
25 and colleagues (Needham, Barret, & Peterman, 2002), where the ability of pre-  
26 reaching infants to grasp objects was artificially anticipated by means of mittens  
27 with palms covered with velcro that stuck to some toys prepared by the  
28 experimenters. The results showed that those infants whose grasping ability had  
29 been enhanced by the glove were more interested in objects than a reference  
30 group of the same age that developed 'normally'. This suggests that, although  
31 artificial, the boost in motor development produced by the glove anticipated the  
32 infants' interest towards objects.

33 Exploiting actions for learning and perception requires the ability to match  
34 actions with the agents that caused it. The sense of agency (Jeannerod, 2002) gives  
35 humans a sense of ownership of their actions and implies the existence of an  
36 internal representation of the body. Although some sort of self-recognition is  
37 already present at birth, at least in the form of a simple hand-eye coordination  
38 (Meer, Weel, & Lee, 1995), it is during the first months of development that  
39 infants learn to recognize their body as a separate entity acting in the world  
40 (Rochat, & Striano, 2000). It is believed that to develop this ability infants exploit  
41 correlations across different sensorial channels (combined double touch/  
42 correlation between proprioception and vision).

### 43 *Active Perception and the Body in Robots*

44 In robotics, we have the possibility to study the link between action and  
45 perception, and its implications on the realization of artificial systems. Robots,  
46 like infants, can exploit the physical interaction with the environment to enrich  
47 and control their sensorial experience. However, these abilities do not come for  
48 free. Very much like an infant, the robot must first learn to identify and control its  
49 body, so that the interaction with the environment is meaningful and, at least to a  
50

1 certain extent, safe. Indeed, motor control is challenging especially when it  
2 involves the physical interaction between the robot and the world.

3 Inspired by the developmental psychology literature, roboticists have begun to  
4 investigate the problem of self-recognition in robotics (Gold & Scassellati, 2005;  
5 Metta & Fitzpatrick, 2003; Natale *et al.*, 2005; Yoshikawa, Hosoda, & Asada, 2003).  
6 Although different in several respects, in each of these efforts the robot looks for  
7 intermodal similarities and invariances to identify its body from the rest of the  
8 world. In the work of Yoshikawa (Yoshikawa *et al.*, 2003), the rationale is that for  
9 any given posture the body of the robot is invariant with respect to the rest of the  
10 world. The correlation between visual information and proprioceptive feedback  
11 is learned by a neural network that is trained to predict the position of the arms  
12 in the visual field. Gold and Scassellati (2005) approached the self-recognition  
13 problem by exploiting knowledge of the time elapsing between the actions of the  
14 robot and the associated sensorial feedback. In the work of Metta and Fitzpatrick  
15 (2003) and Natale *et al.* (2005), actions are instead used for generating visual  
16 motion with a known pattern. Similarities in the proprioceptive and visual flow  
17 are searched to visually identify the hand of the robot. Periodicity in this case  
18 enhances and simplifies the identification. The robot learns a multimodal  
19 representation of its hand that allows a robust identification in the visual field.

20 In our experience with robots we identified three scenarios in which the body  
21 proved to be useful in solving perceptual tasks:

- 22 1. *Direct exploration*: The body in this case is the interface to extract information  
23 about the objects. For example, in the work of Natale, Metta, and Sandini  
24 (2004) haptic information was employed to distinguish objects with different  
25 shapes, a task that would be much more difficult if performed visually. In the  
26 work of Torres-Jara, Natale, and Fitzpatrick (2005), the robot learned to  
27 recognize a few objects by using the sound they generate upon contact with  
28 the fingers.
- 29 2. *Controlled exploration*: Use the body to perform actions to simplify perception.  
30 The robot can deliberately generate redundant information by performing  
31 periodic actions in the environment. The robot can also initiate actions and  
32 wait for the appearance of consequences (Fitzpatrick & Metta, 2003).
- 33 3. *The body as a reference frame*: During action the hand is the place where  
34 important events are most likely to occur. The ability to direct the attention of  
35 the robot towards the hand is particularly helpful during learning; (Natale  
36 *et al.*, 2005) showed how this ability allows the robot to learn a visual model of  
37 the objects it manages to grasp by simply inspecting the hand when touch is  
38 detected on the palm (see Figure 3). In similar situations, the same behaviour  
39 could allow the robot to direct the gaze to the hand if something unexpected  
40 touches it. Eye–hand coordination seems thus important to establish a link  
41 between different sensory channels like touch and vision.

### 42 *Specificity and Generalization of Knowledge Gained through the Motor System*

43 Study of the motor system has shown the specificity of the coding of object and  
44 action information in the brain. For example, Gallese *et al.* (1996) have shown that  
45 neurons in the premotor area F5 respond to the execution of specific actions, for  
46 example grasping—and not just any grasp, but specific grasps such as pinch  
47 grasp rather than power grasp. At the same time, F5 neurons also generalize and

1 many of them are independent of the effector being employed, e.g. left versus  
2 right hand. For visuo-motor neurons, specificity and generalization are some-  
3 times complementary, with visual responses typically being broader (less  
4 specific) than motoric ones. A category of visuo-motor neuron (mirror neurons)  
5 is also related to the recognition of observed actions and similar considerations of  
6 specificity versus generalization apply.

7 It is striking how the brain neatly balances between specificity (allowing  
8 recognition and execution of the intended action) and generalization (to the  
9 degree of making the effector unimportant). Another way of looking at these  
10 results is to say that the goal is important (thus specificity of the action) but not  
11 the means by which it is achieved (left versus right hand) (Rizzolatti & Craighero,  
12 2004).

13 Robotics had adopted the idea of the active recruitment of the motor system for  
14 the construction of perceptual abilities even before the discovery of mirror  
15 neurons. For example, the Active Vision paradigm in computer vision (Blake &  
16 Yuille, 1992) proposed that the movement of sensors could aid the perceptual  
17 system by extracting information directly in relation to the goal of the observer.  
18 Similarly, in the field of speech processing, Liberman as early as 1967 (Liberman,  
19 Copper, Shankweiler, & Studdert-Kennedy, 1967) suggested that speech produc-  
20 tion and perception are served by a common pathway and by common  
21 mechanisms. While at that time, Liberman's ideas were merely conjectures,  
22 now they can be defended with scientific argument because of the advancement  
23 of the understanding of the physiology of the motor system (Rizzolatti & Arbib,  
24 1998). More recently, Hinton and Nair (2006) proposed a remarkably similar  
25 approach for the recognition of handwritten digits and commented on a possible  
26 parallel with speech.

27 More specifically, many authors have either explicitly modelled mirror neurons  
28 or approximately borrowed the general idea of common processing modules  
29 shared by action production and action understanding (see, for example:  
30 Demeris & Johnson, 2003; Fagg & Arbib, 1998; Miall, 2003; Oztop, Kawato, &  
31 Arbib, 2006). With respect to generalization, the authors were able to show how  
32 inferring the motor representation before classification can improve performance.  
33 In a set of experiments (Metta, Sandini, Natale, Craighero, & Fadiga, 2006),  
34 human grasping actions including visual and motor data were analysed with  
35 machine-learning methods. It was possible to show that the performance of a  
36 visual classifier is improved by mapping visual information into a motoric  
37 representation as a preprocessing stage. In particular, both the complexity of the  
38 classifier is lower and generalization to novel grasp views is improved. These  
39 results can both as supporting on one hand the role of embodiment, and on the  
40 other, highlighting the benefit to robotics of learning about the acquisition  
41 (development) of certain motor skills in humans (grasping in this case).

## 43 CONCLUSIONS

44 In the field of humanoid robotics, researchers have a special respect and  
45 admiration for the abilities of infants. They watch their newborn children with  
46 particular interest, and their spouses have to constantly be alert for the tell-tale  
47 signs of them running an *ad hoc* experiment. It can be depressing to compare the  
48 outcome of a five-year, multi-million-euro/dollar/yen project with what an  
49 infant can do after four months. Infants are so clearly doing what we want robots  
50 to do; is there any way to learn from research on infant development?

1 Conversely, can infant development research be illuminated by the struggles  
3 faced in robotics? Clearly, both domains struggle with questions of origins of  
5 abilities and constraints on learning—if we can discover these constraints in the  
7 human, perhaps it could facilitate success in the robot. Similarly, facets of what is  
9 learned in robotics can guide infant researchers to look for previously  
11 unsuspected difficulties that infants might experience.

13 Is there a way to create a model of development that applies both to infants and  
15 robots? Evolution may have selected for propensities in the basic cognitive  
17 system of the human infant that could be beneficial for the humanoid robot as  
19 well. Considering ways in which the human infant and humanoid robot could  
21 learn within the context of a highly structured natural environment, it seems  
23 possible that similar sensory constraints and opportunities will mould both the  
25 unfolding of an infant's sensitivities to different cues, and the organization of the  
27 set of algorithms used by robots to achieve sophisticated perception. So, at least  
29 at the level of identifying 'key events' and mutually reinforcing cues, a shared  
31 model is possible. Of course, there is a lot that would not fit in the model, and this  
33 is as it should be. It would be solely concerned with the class of functional,  
35 information-driven constraints. We have not in this paper developed such a  
37 model; that would be premature. We have identified some points of connection  
39 that could grow into much more. We hope that the paper will serve as a one more  
41 link in the growing contact between the fields.

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